

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Group Art Unit:
Carl Phillip Gusler :
Rick Allen Hamilton, II :
Serial No.: : Intellectual Property
Filed: : Law Department
: International Business
: Machines Corporation
: 11400 Burnet Road
: Austin, Texas 78758
Title: Employing Stickiness and :
Stock Prices for Employee Benefits :
IBM Docket No.: AUS920010979US1 :

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents

Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other

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information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached forms, PTO/SB/08A and 08B, provide a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO/SB/08A and 08B is supplied herewith.

Respectfully submitted,


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Substitute for form 1449B/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
				Filing Date	
				First Named Inventor	C. Gusler
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	AUS920010979US1

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. **2** Applicant is to place a check mark here if English language Translation is attached.

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